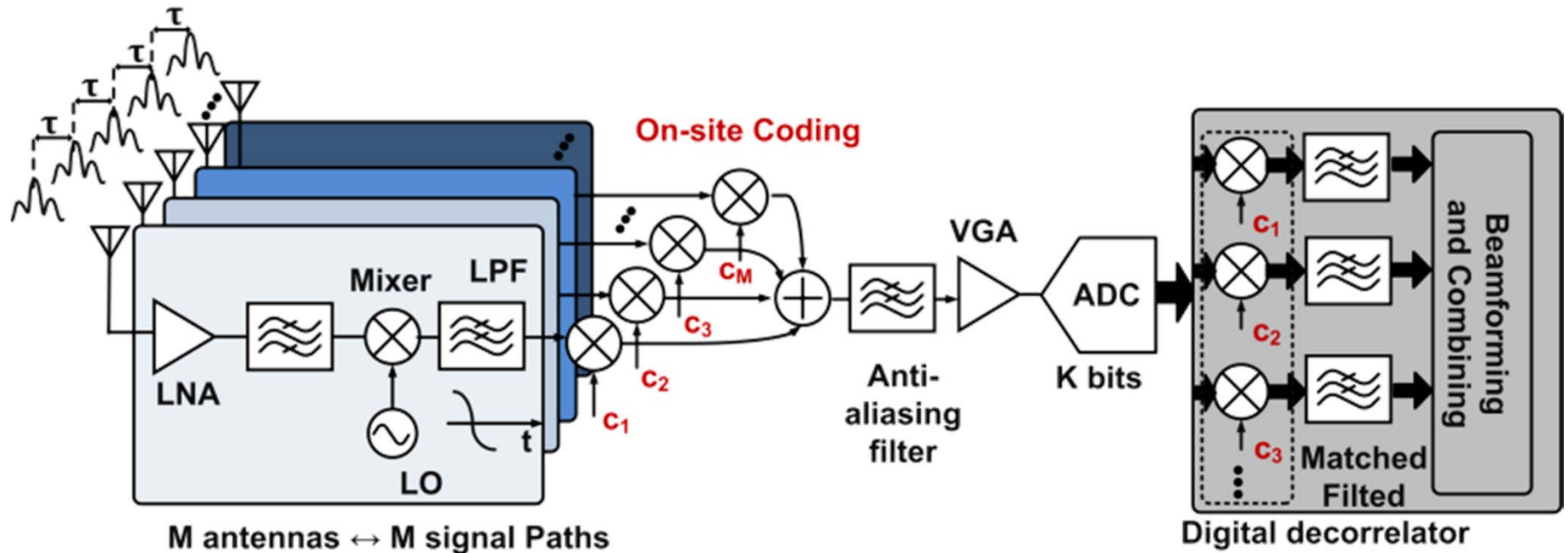


Ultra-wideband Digital Beamformer with Significant SWAP-C Reduction



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Outline

1- Motivation: Conventional beamforming techniques

→ Analog (RF, LO)

→ Digital

2- Proposed On-site coding Receiver (OSCR)

→ Receiver Architecture

3- System Evaluation

→ Size

→ Cost

→ Power

4- System Considerations

→ SINR calculations

→ Type of spreading codes:

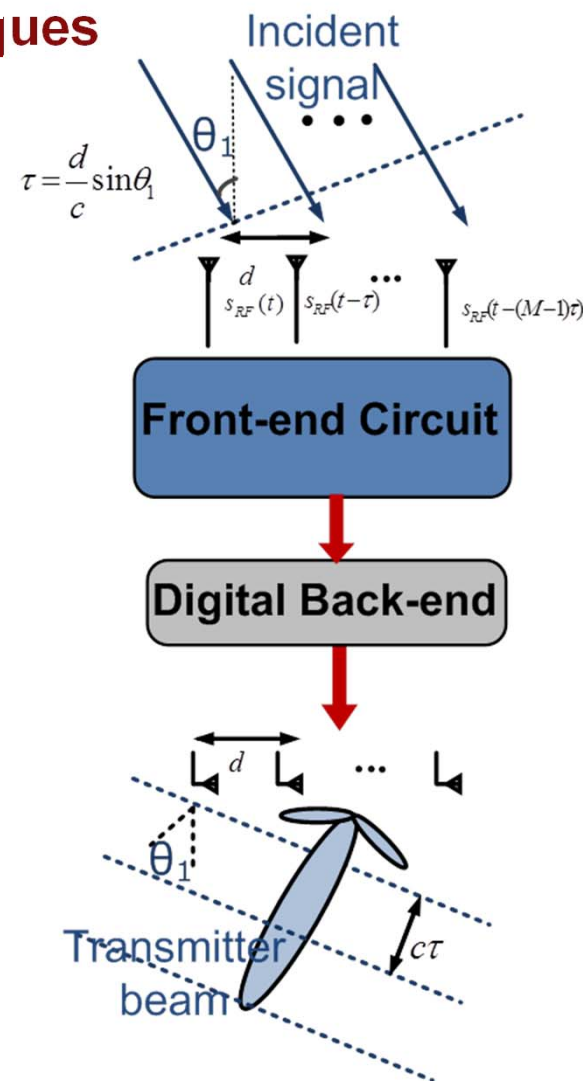
→ Orthogonal vs. non-orthogonal codes

→ Code spreading Factor vs. ADC speed

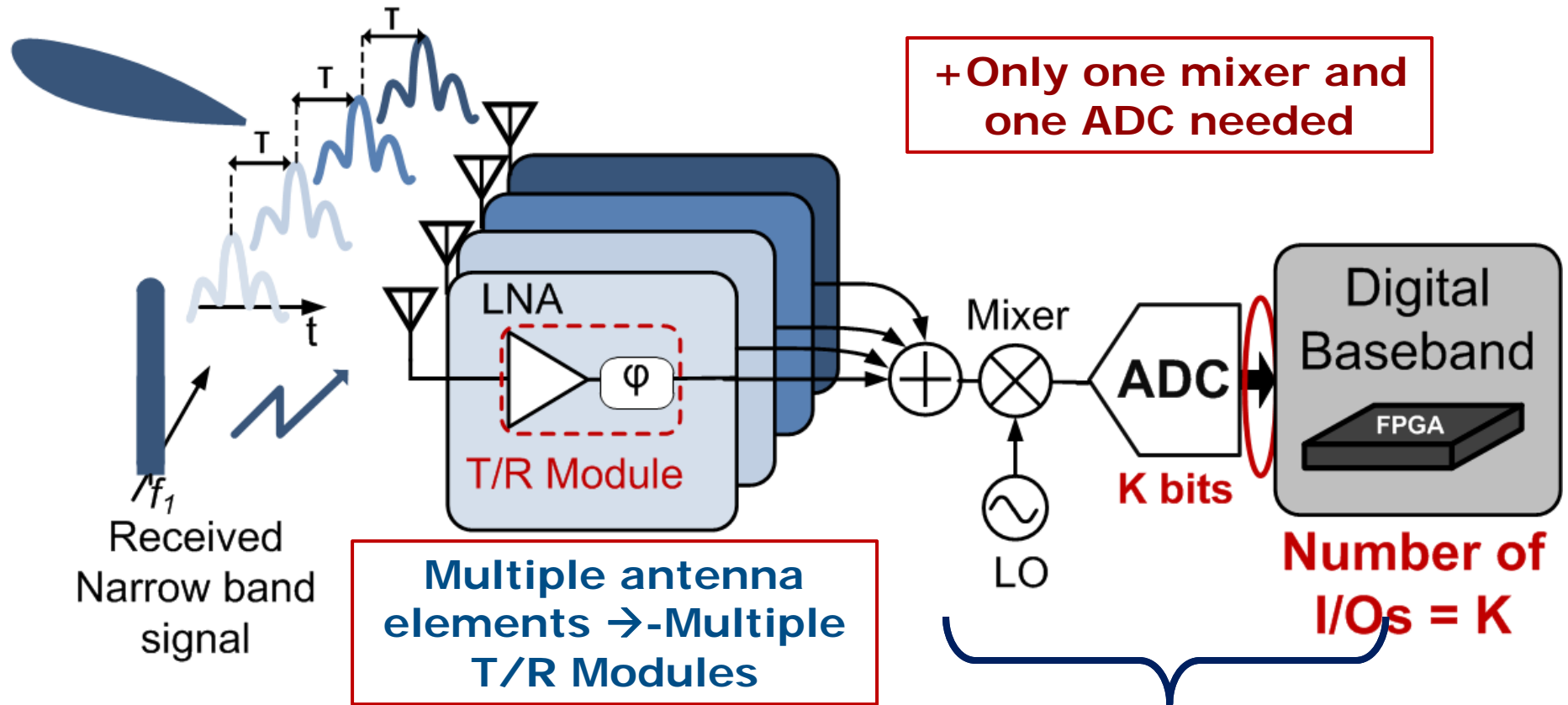
→ ADC quantization noise

→ Low pass filter

5- Future Work and Conclusion



Motivation: Analog Beamformer Architecture At Signal Path (RF)



At Signal Path:

- 1-Wideband signals \rightarrow True -Time delay elements: Amplitude and phase shifters
- 2-Narrowband signals \rightarrow Phase shifters

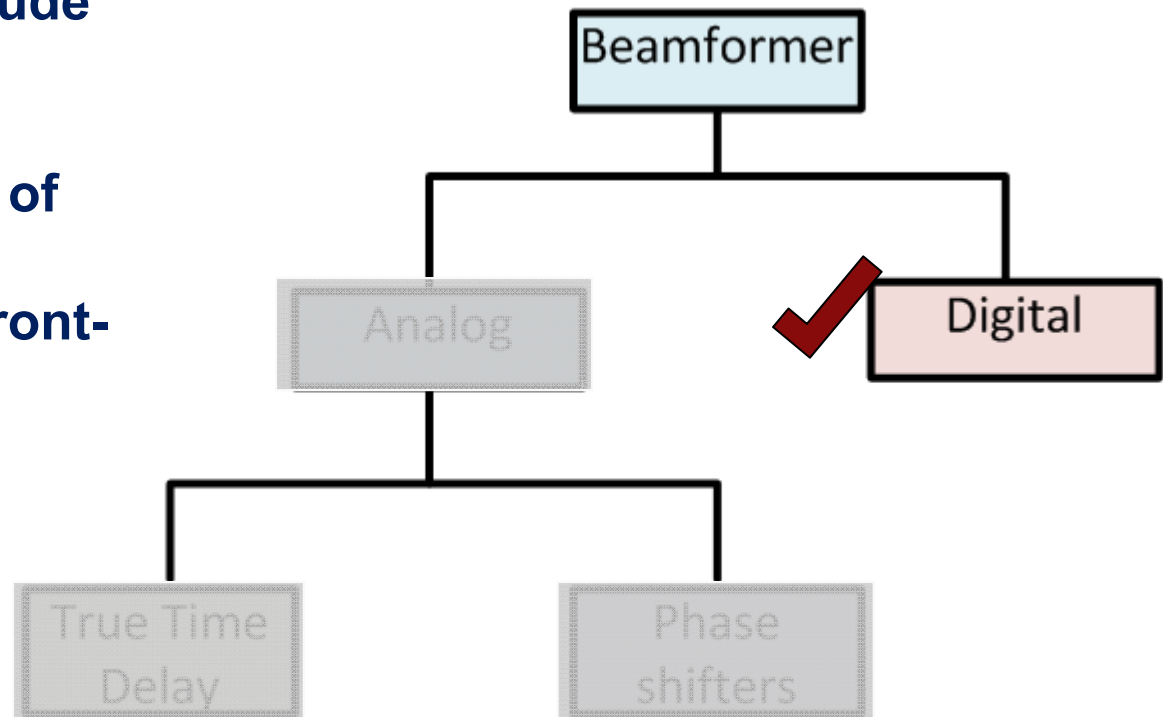
+ Single ADC

- Only 1 spatial direction at a time
- Phase shifter: high area/cost/power requirements

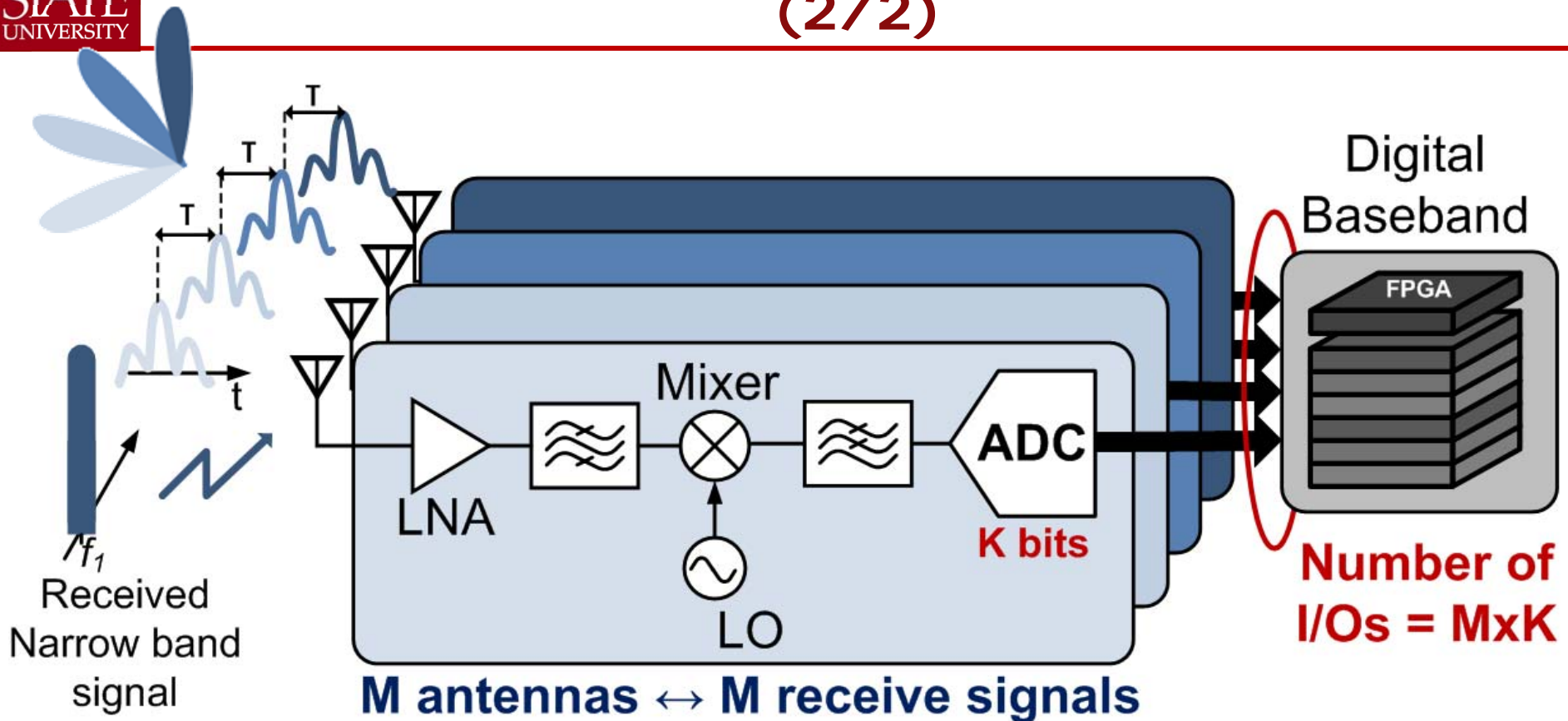
Motivation: Digital Beamformer Limitations (1/2)

Digital Beamformers

- Phase shifting and amplitude scaling applied at digital baseband
- No need for phase tuning of the VCOs
- Relaxed hardware at RF front-end
- More flexibility (algorithms tested without hardware change)
- Adaptive algorithm (null steering, multi-beam,...)
- Spatial diversity (MIMO)
- Intensive Hardware Requirements at digital back end (ADCs, FPGA I/O pins...)



Motivation: Digital Beamformer Architecture (2/2)



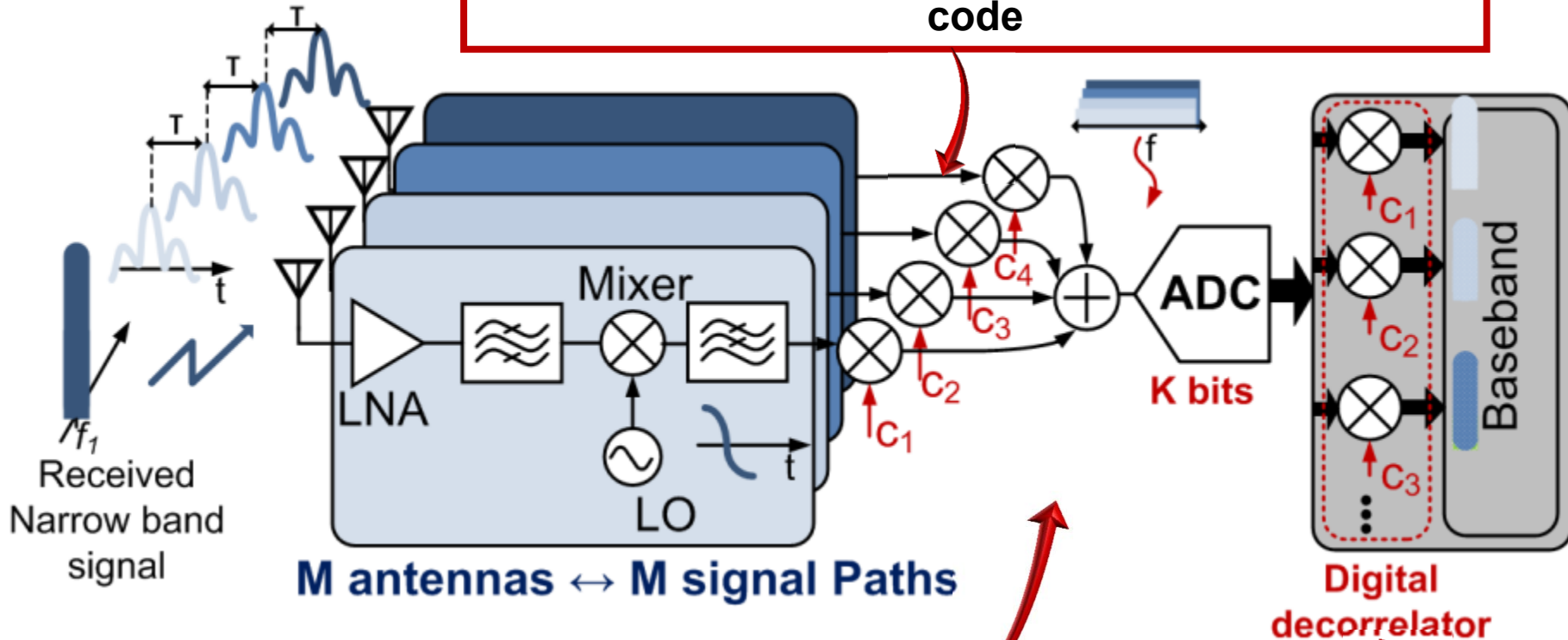
- One ADC per channel: M antennas \rightarrow M -ADCs
- \rightarrow Intense hardware requirements on digital beamforming front-ends (for existing architectures)

Can we share ADCs across multiple channels?

At digital level:
-Amplitude /phase shifter

Proposed Digital Beamforming (DBF) technique With On-Site Coding

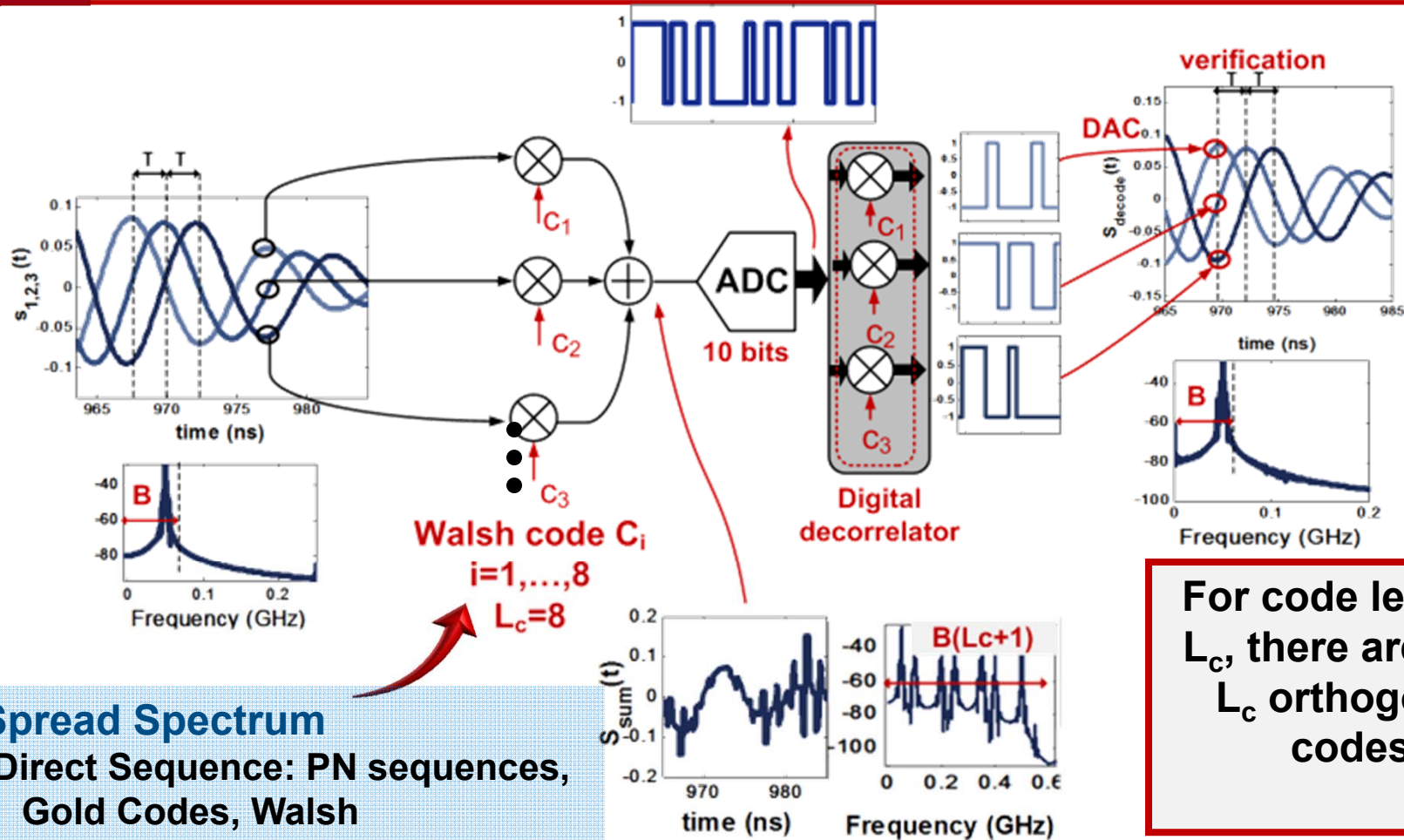
Spread each channel output with an orthogonal code



The spreading code serves to uniquely identify each signal path

De-spread the signal digitally: recover

Analog Spreading and Digital Despreading



Spread Spectrum

-Direct Sequence: PN sequences, Gold Codes, Walsh Hadamard...

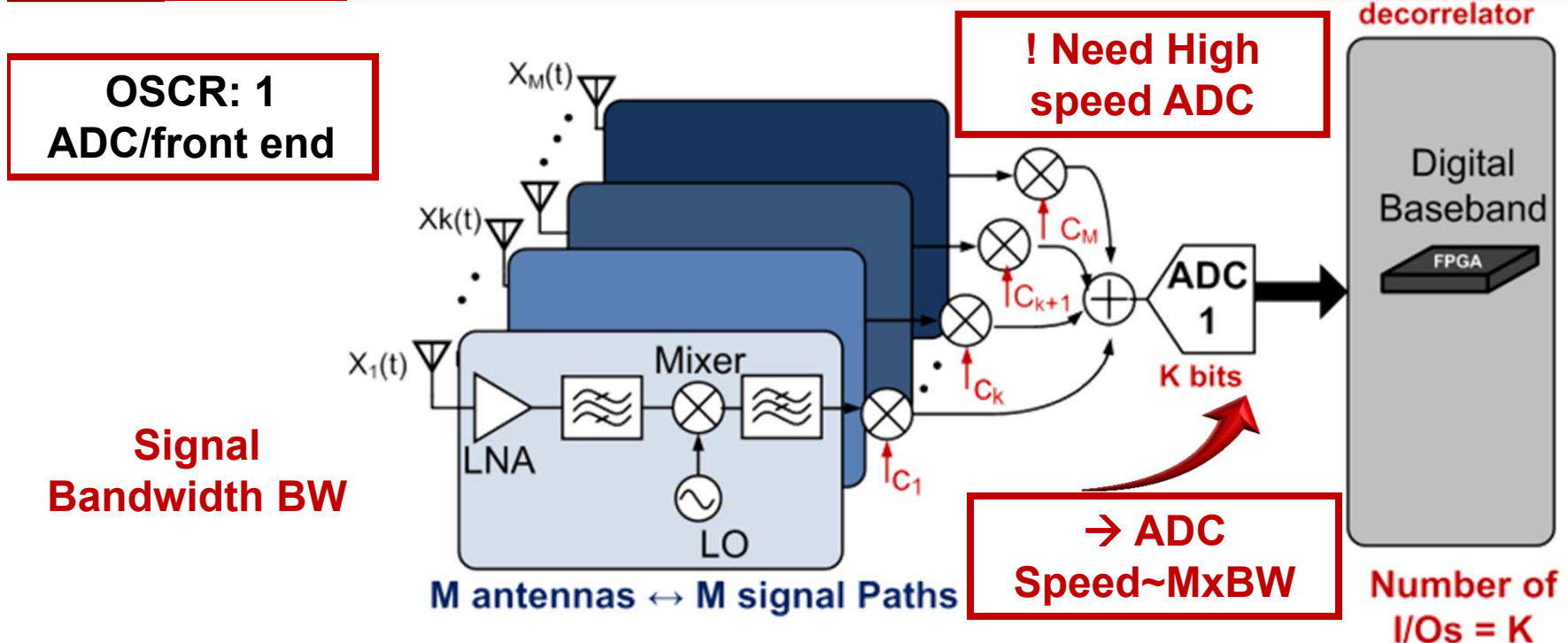
-Orthogonal Codes:

$$\langle c_i | c_j \rangle = \begin{cases} 1, & i = j \\ 0, & \text{otherwise} \end{cases}$$

For code length = L_c , there are max L_c orthogonal codes

Combined BW = $2B \cdot L_c$
 \rightarrow ADC Speed = $2 \times BW$

Significant Size Reduction of the Proposed vs. Conventional digital BF designs

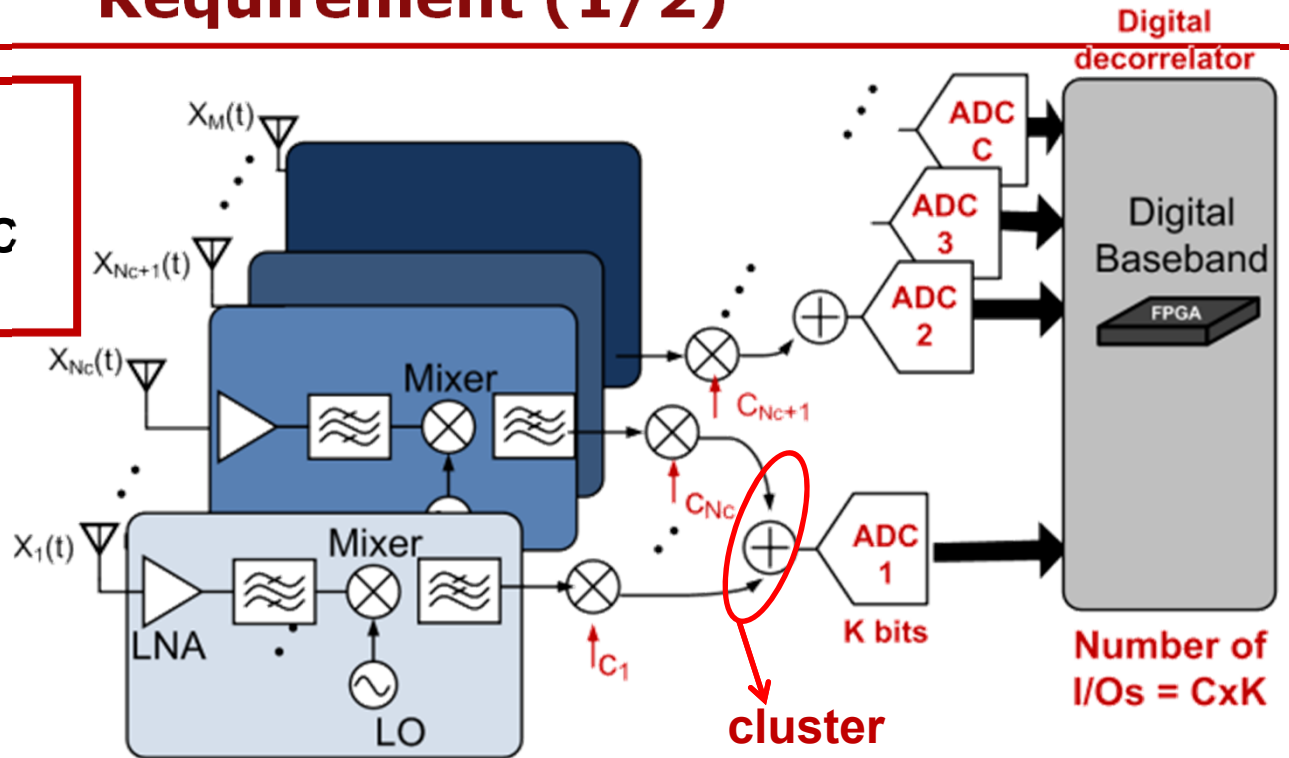


No. of antennas (M)	No. of ADCs k= 10bits	FPGA I/Os pins
Conventional		
64	64	640
Proposed		
64	1	10

OSCR with Clustering to Relax ADC speed Requirement (1/2)

Shared ADC per cluster
 $\rightarrow M$ signal paths
 $\rightarrow N_c$ signal paths per ADC
 $\rightarrow N_c < L_c$ (code length)

\rightarrow ADC Speed \sim
 $N_c \times BW \ll M \times BW$



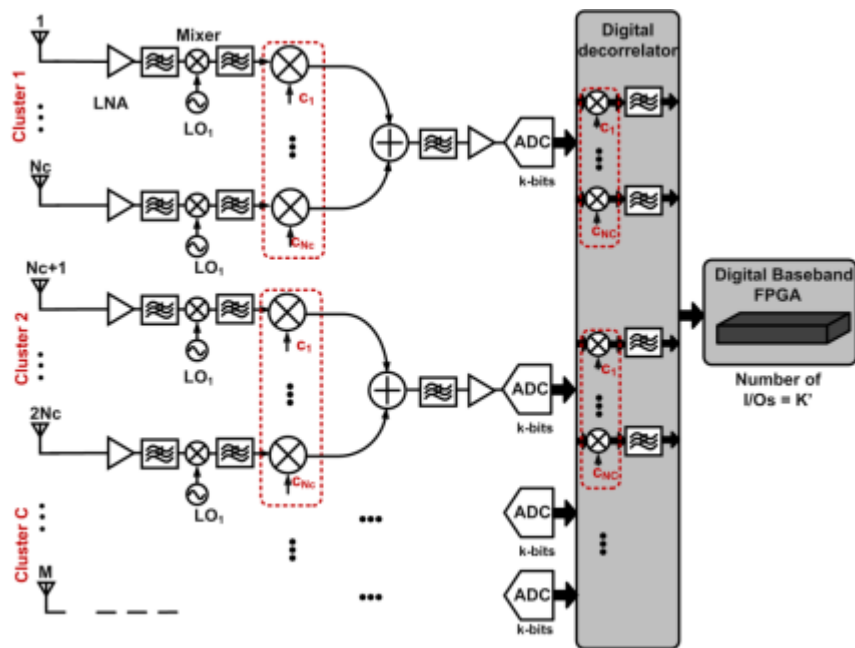
What is the Optimal Cluster size that achieves:

- 1- minimum hardware requirement
- 2- low power consumption
- 3- low cost

Proposed Architecture Cost and Power Requirements (2/2)

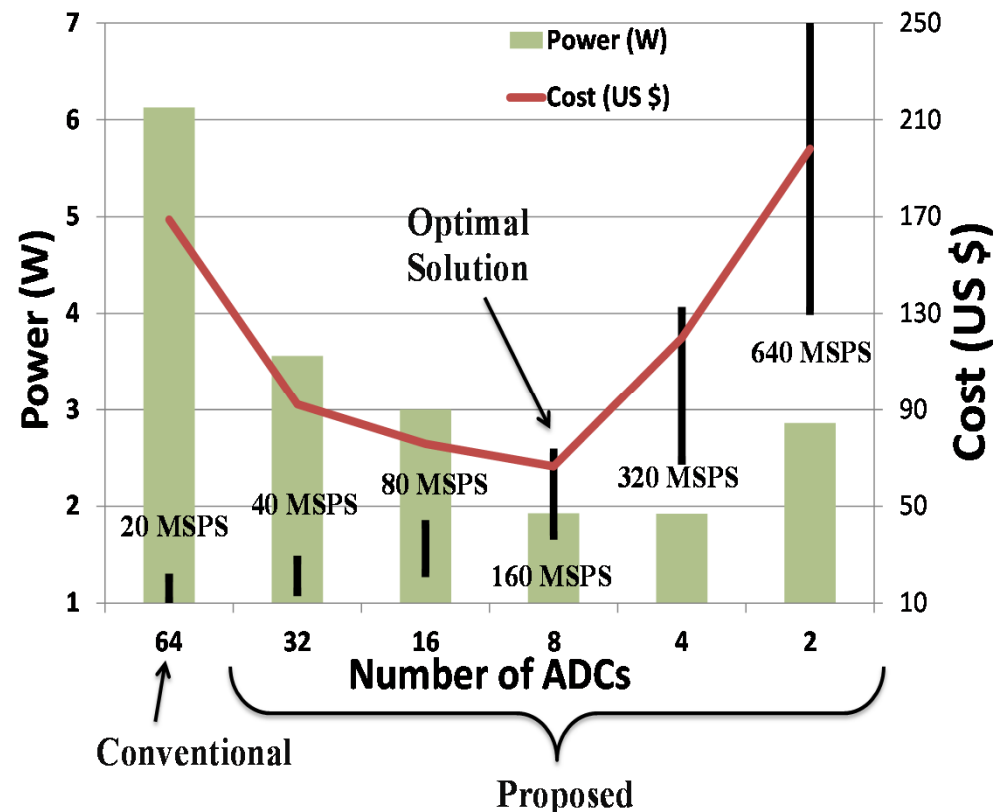
Significant ADC reduction

- power Reduction
- Cost Reduction



Maximum cluster size $C \leq$
Maximum number of orthogonal
codes of length L_c

ADC Analysis for 64 Element Array

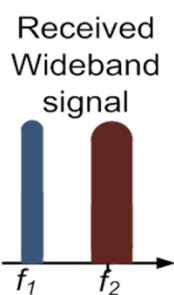


E.g. 64 elements array

Optimal solution → 8 ADCs each
serving 8 signal paths

UWB Receiver with onsite coding

**Channelizing
the received
signal into sub-
bands**

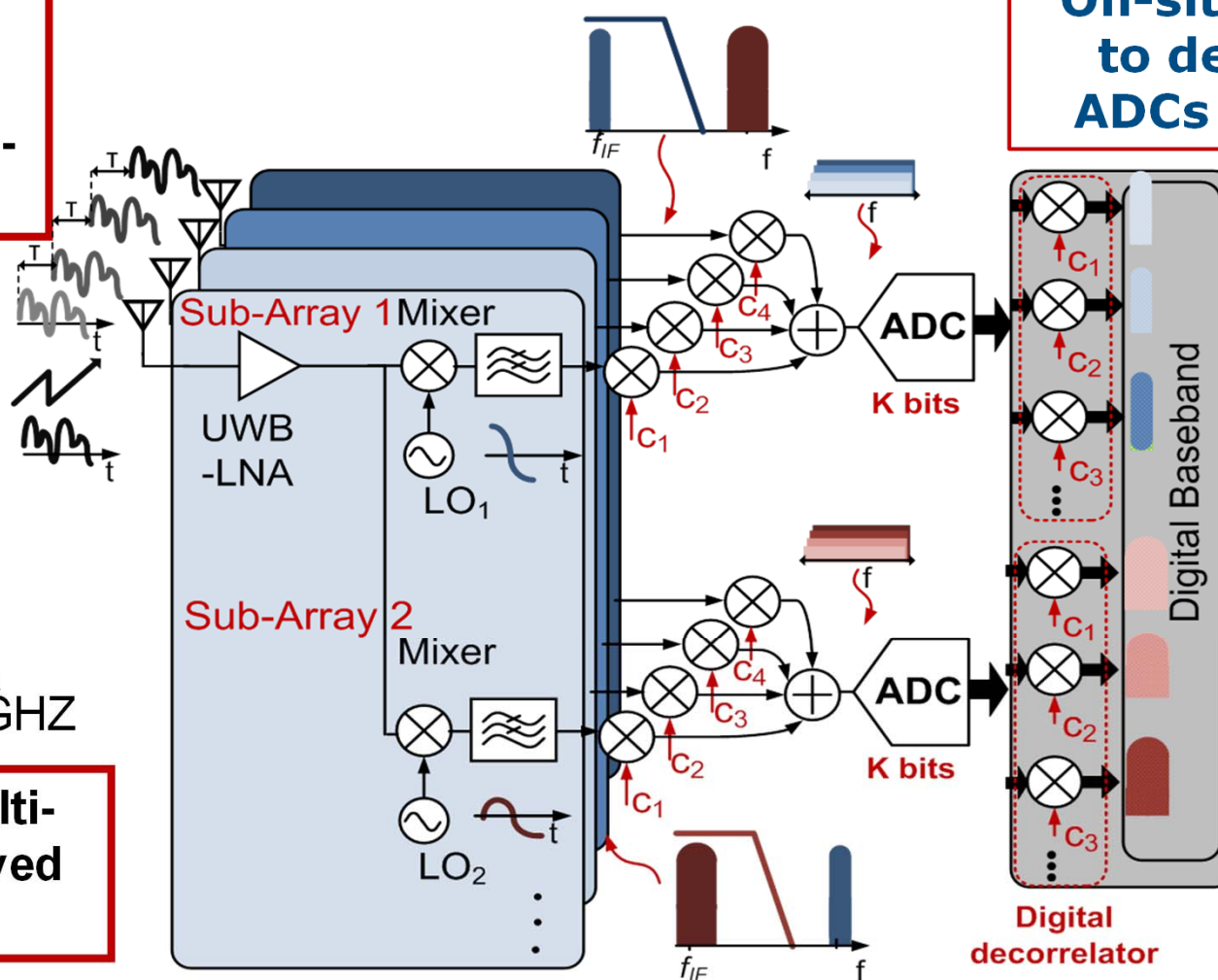


400 MHz

2 GHz

**Typical multi-
band received
signal**

**On-site coding
to decrease
ADCs number**



**Clustering can be used to relax the ADC
speed requirement**

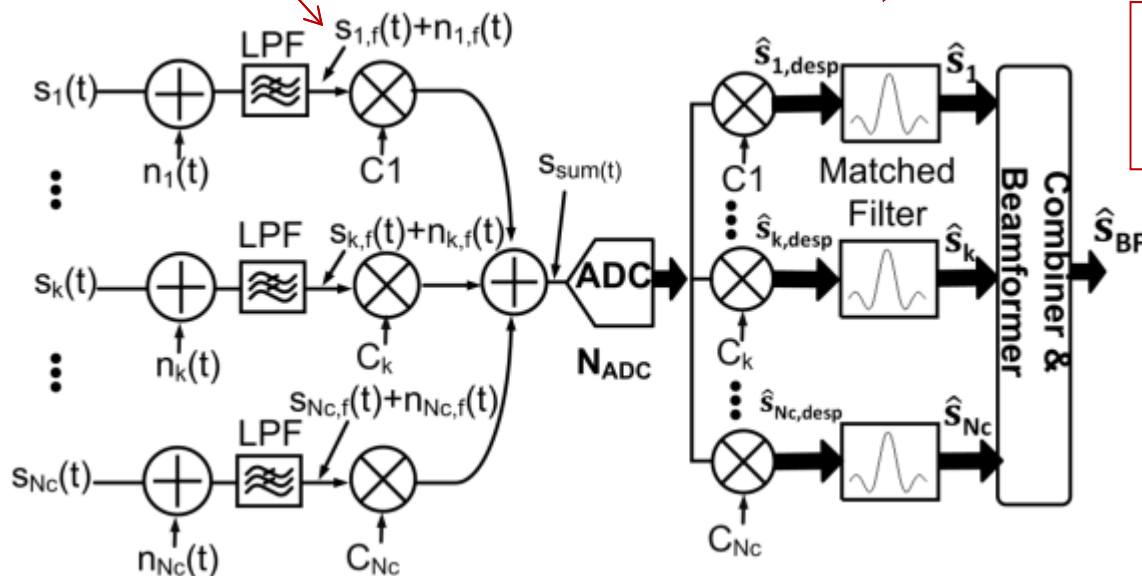
System Considerations (1/2)

Signal-to-Interference-and-Noise Ratio (SINR)

Assumptions

- Up to N_c signal paths
- Length of code L_c
- ADC: ∞ -resolution

$$SINR_{Input} = \frac{P_{k,f}}{N_{k,f}}, k = 1, \dots, N_c$$



Desired signal of path 1

Recovered noise of path 1

$$\hat{s}_{1, desp} = s_1(t)c_1(t)c_1(t) + n_1(t)c_1(t)c_1(t) + \sum_{i=2}^{N_c} (s_i(t)c_i(t)c_1(t) + n_i(t)c_i(t)c_1(t))$$

Inter-channel interference (signal and noise)

$$SINR_{OSCR, 1} = \frac{P_{1,f}}{C_c^2 \sum_{i=2}^{N_c} (P_{i,f} + N_{i,f}) + N_{1,f}} \xrightarrow{C_c \rightarrow 0} SINR_{Input}$$

Inter-channel signal and noise Interference:

→ Using orthogonal codes: Cross Correlation $C_c \rightarrow 0$

System Considerations (2/2)

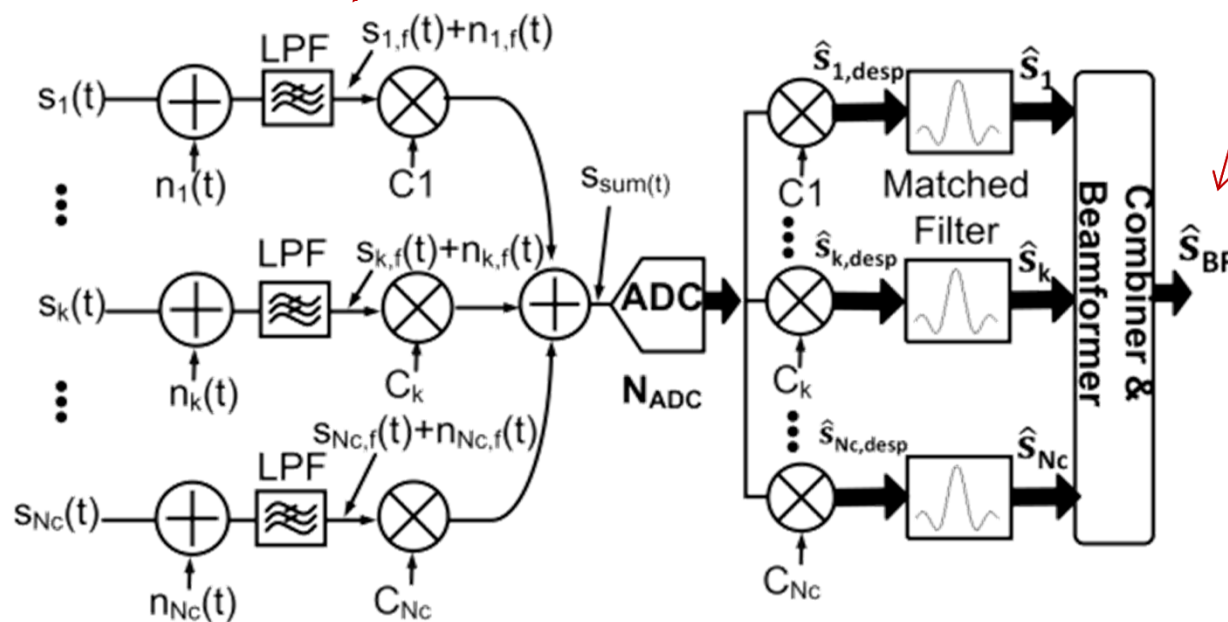
Beamforming Gain

Assumptions

- Up to N_c signal paths
- Length of code L_c
- ADC: ∞ -resolution

$$SINR_{Input} = \frac{P_{k,f}}{N_{k,f}}, k = 1, \dots, N_c$$

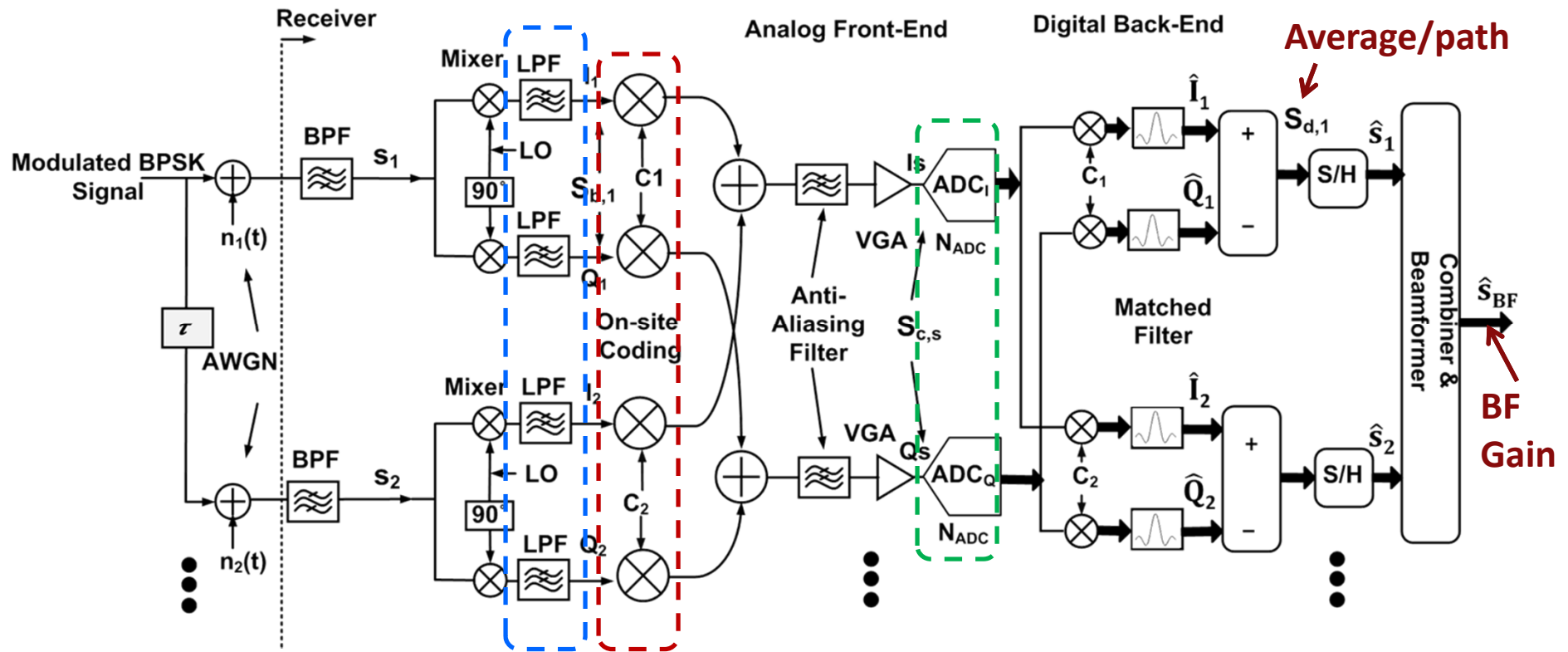
$$SINR_{OSCR, BF} = \frac{N_c^2 P_{1,f}}{N_c [C_c^2 \sum_{i=2}^{N_c} (P_{i,f} + N_{i,f}) + N_{1,f}]} \xrightarrow{C_c \rightarrow 0} N_c SINR_{Input}$$



Beamforming Gain

→ SNR gain ($\sim 10 \log_{10} N_c$) is realized by combining the signals after decorrelation ($C_c \rightarrow 0$)

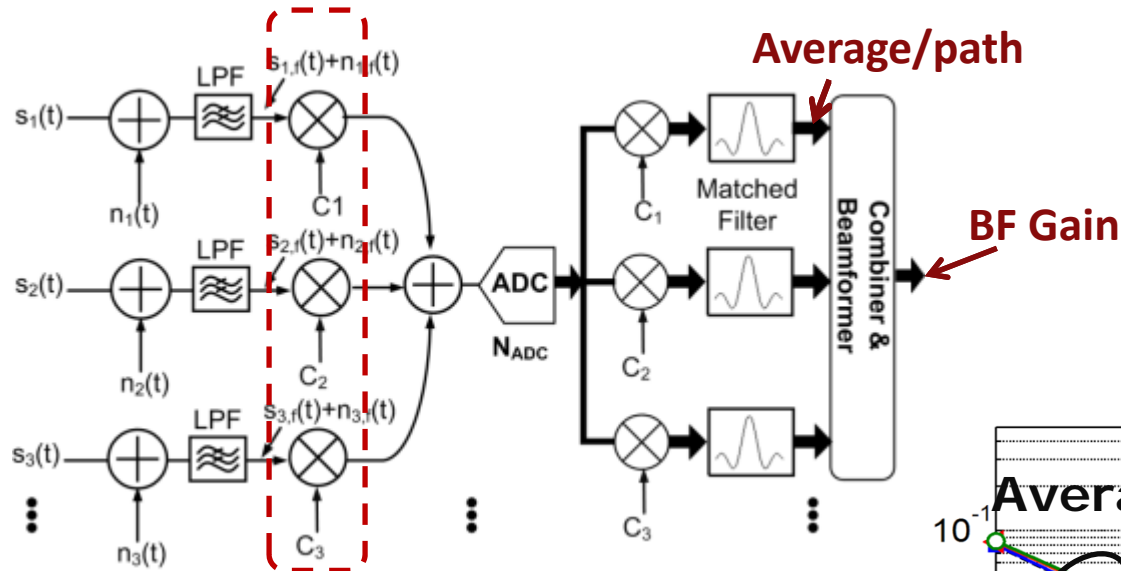
Equivalent system model for BER calculations



Non-idealities

- 1- Codes: non-orthogonal codes \rightarrow more ICI
- 2- Low pass filters: more noise in the band of interest
- 3- ADC quantization noise

BER for 2, 4, 8 paths using orthogonal codes (Walsh codes) and under ideal conditions

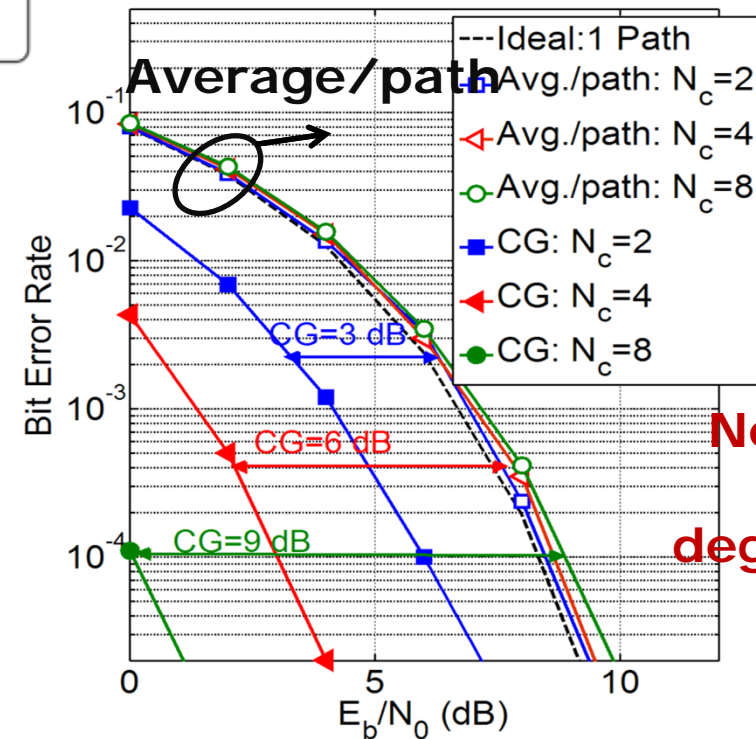


Assumptions

- 1- **Walsh codes**
- 2-length 32
- 3-Perfectly orthogonal → $C_c=0$
- 4- N_c :# of paths

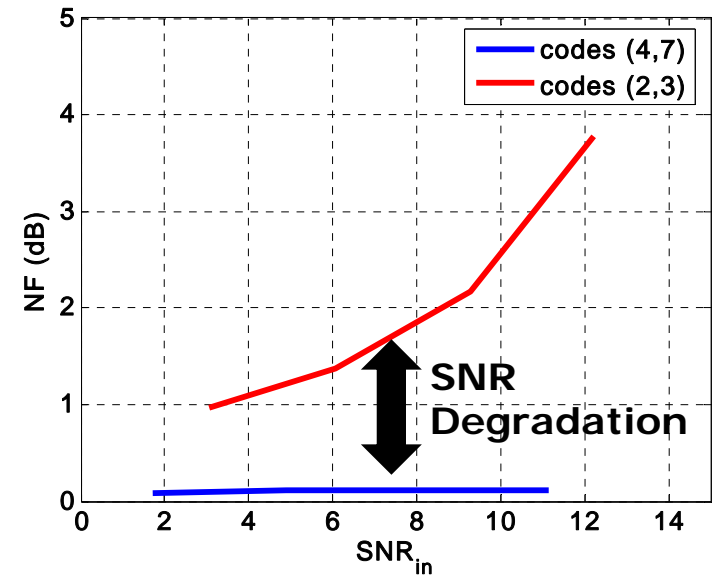
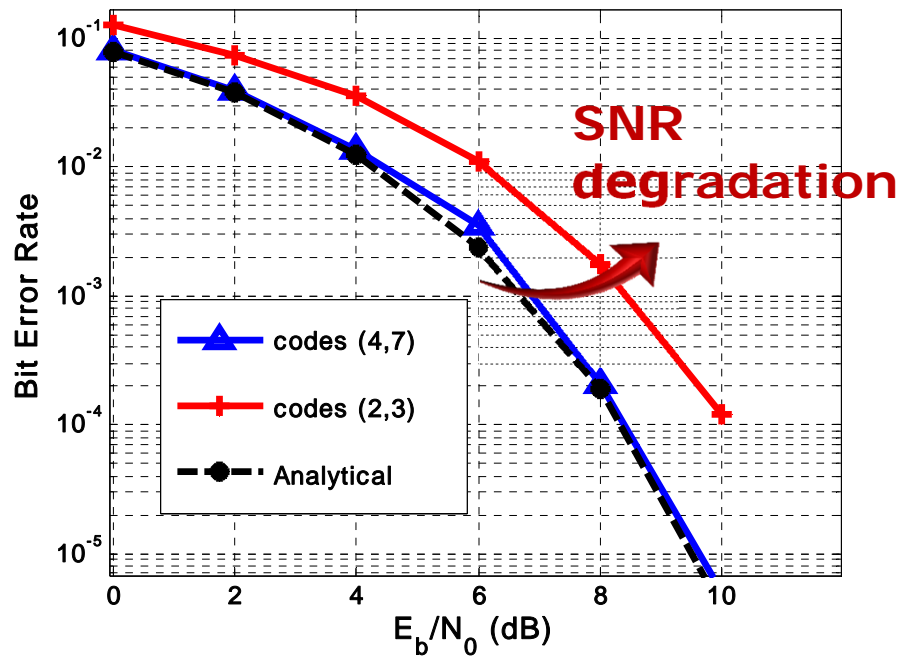
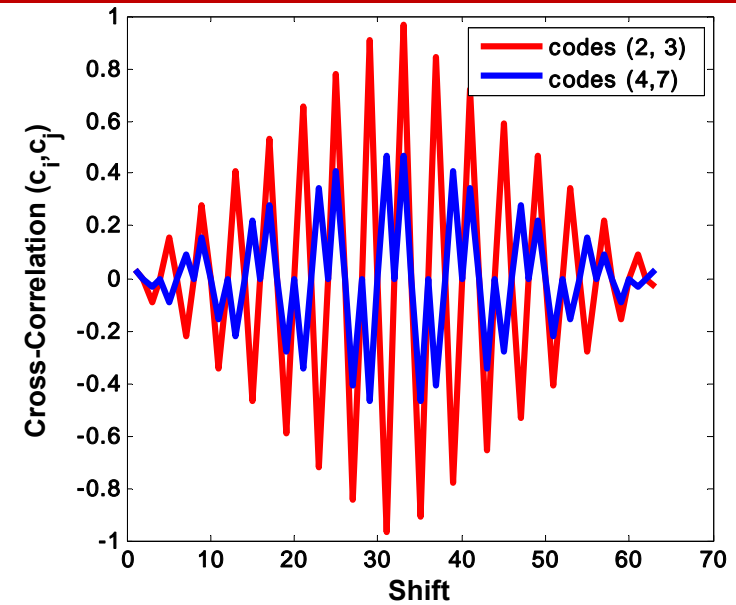
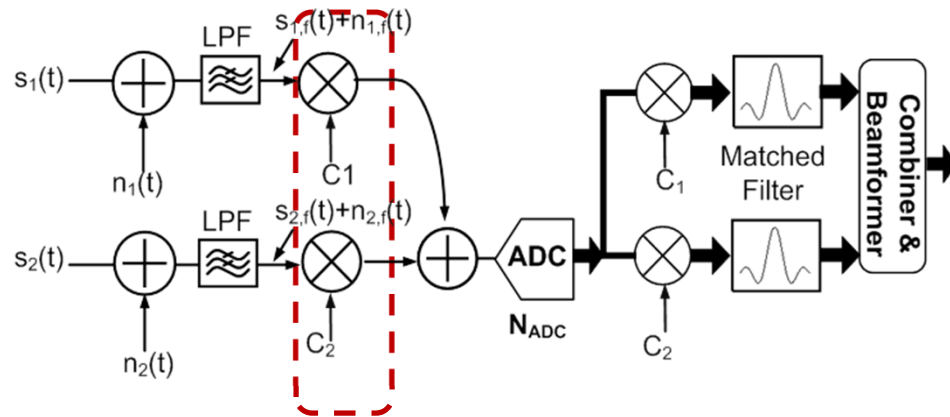
Observations:

→ **Negligible SNR degradation per path**

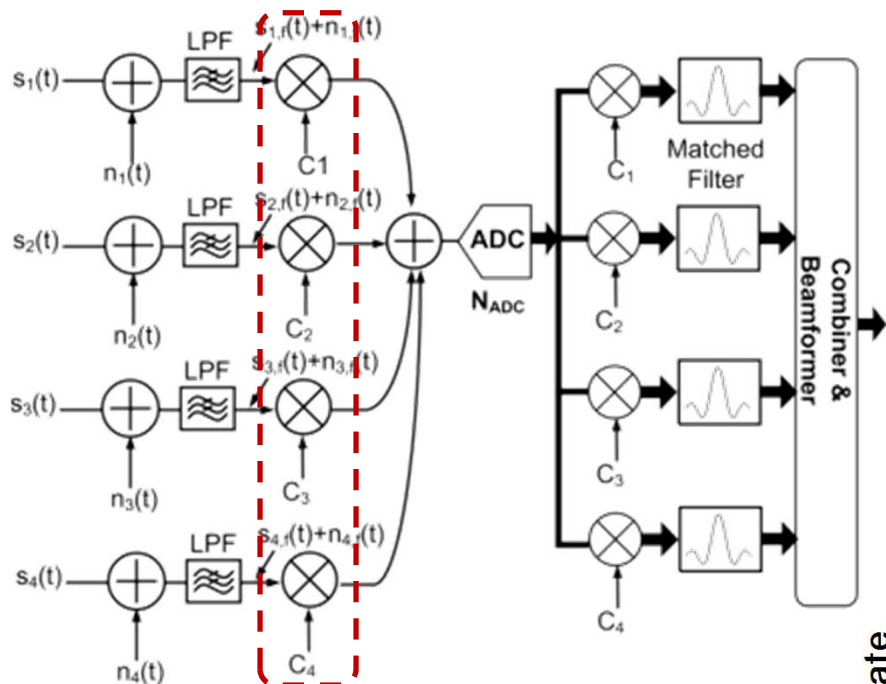


Negligible SNR degradation

More into NON-orthogonal codes → Walsh Codes, $L_c=32$, 2 signal paths



Code-Length Optimization for 4 Antenna Elements Signal Paths

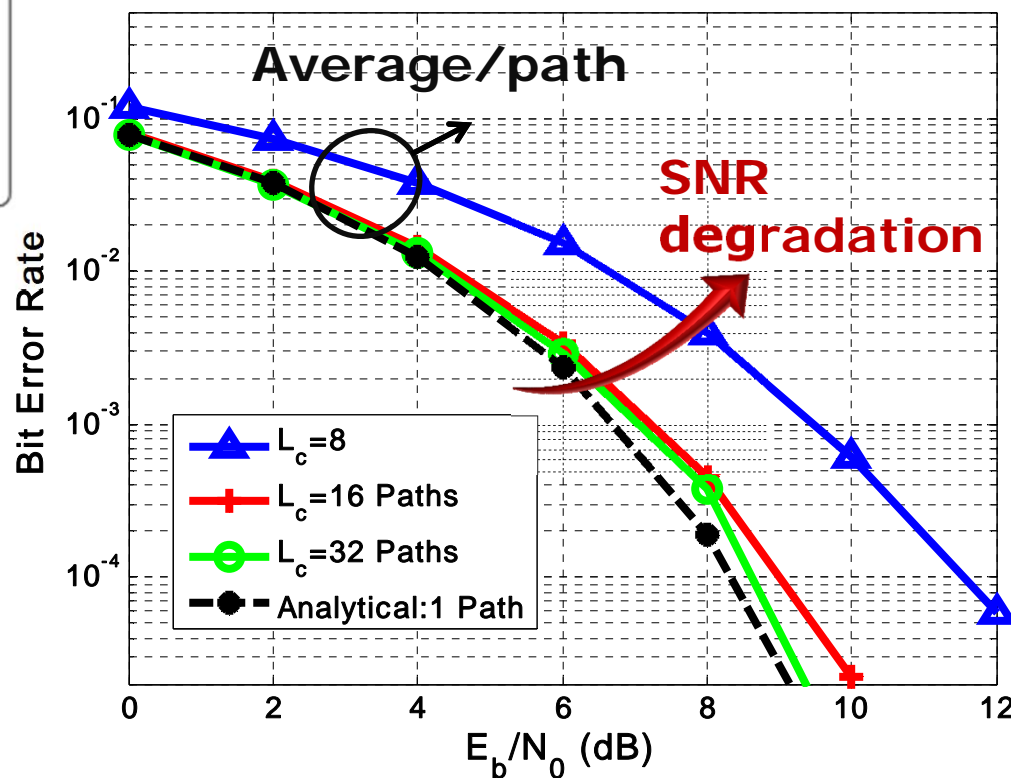


Assumptions

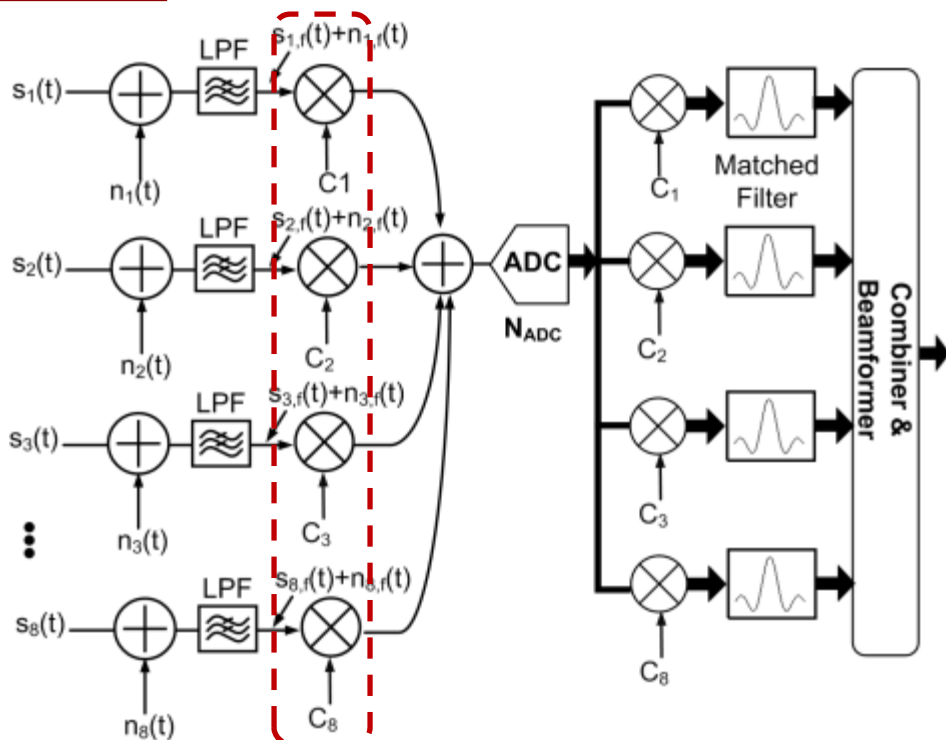
- 1- **Walsh codes**
- 2-length 8-16-32
- 3- $C_c \neq 0$
- 4- $N_c:4$ paths

Observations:

→ **Significant SNR degradation** when $N_c \sim L_c/2$
 → For a receiver with 4 signal paths, minimum code length $L_c > 8$



Code-Length Optimization for 8 Antenna Elements Signal Paths

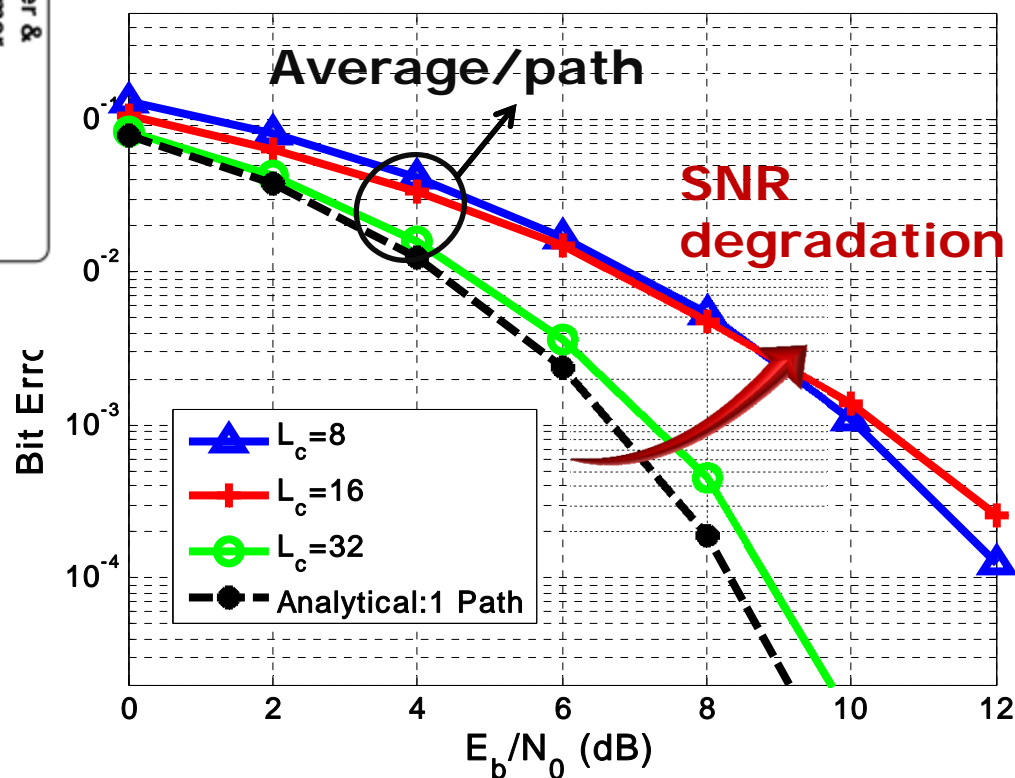


Assumptions

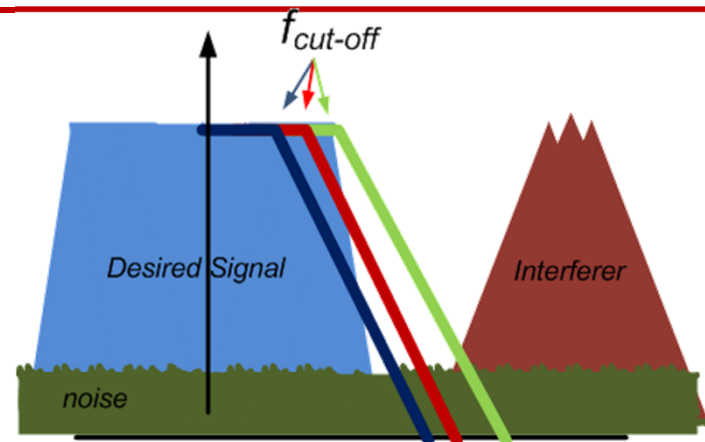
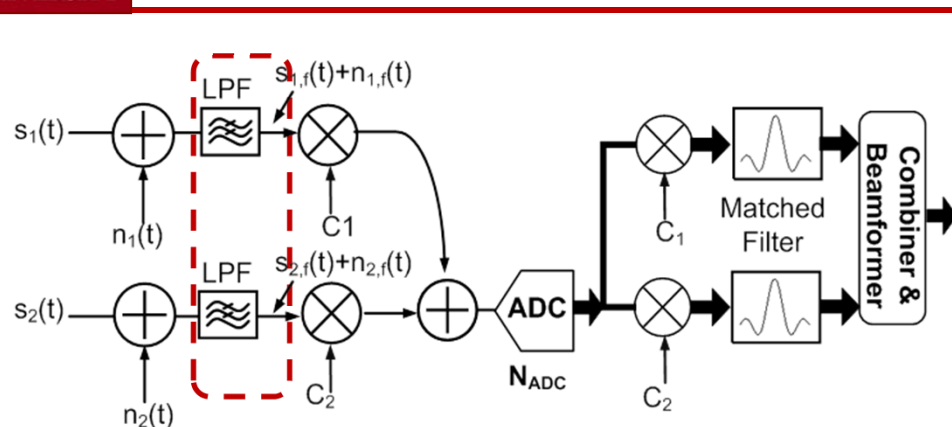
- 1- **Walsh codes**
- 2-length 8-16-32
- 3- $C_c \neq 0$
- 4- $N_c:8$ paths

Observations:

→ **Significant SNR degradation** when $N_c \sim L_c/2$
 → For a receiver with 8 signal paths, minimum code length $L_c > 16$



Effect of the Analog Low Pass Filter on the SNR degradation

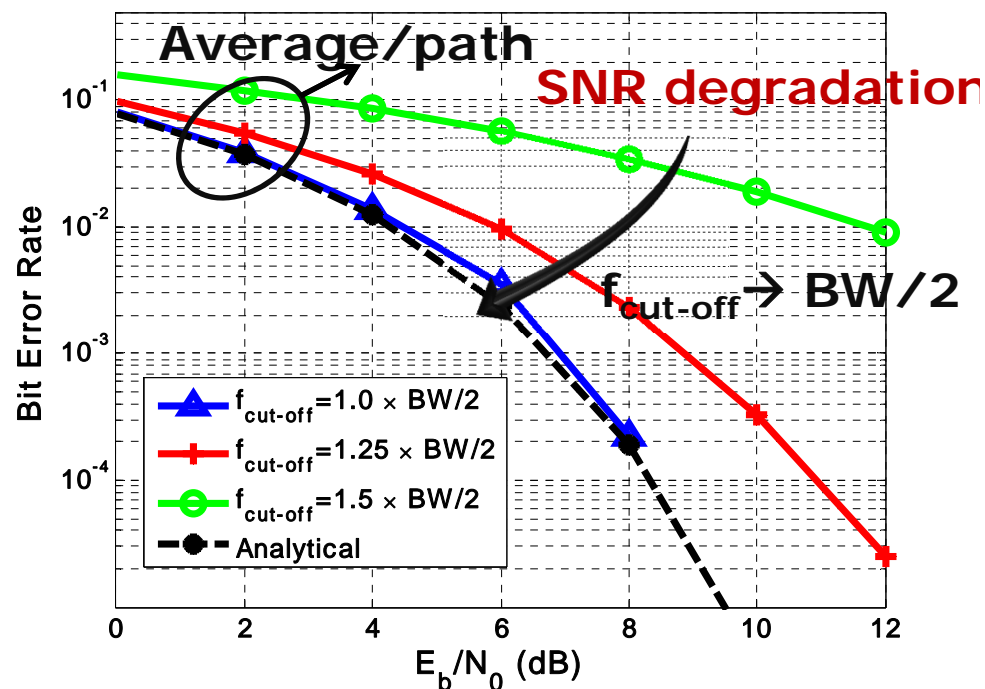


Assumptions

- 1- **LPFs** :order 6 (fixed)
- 2- Vary $f_{\text{cut-off}}$
- 3-Walsh codes: length 32

Observations:

- 1- No SNR degradation: LPF $f_{\text{cut-off}} = \text{BW}/2$
- 2- Improve SNR by sacrificing some of the desired signal for less noise and interference (possible for baseband signals)



Conclusion

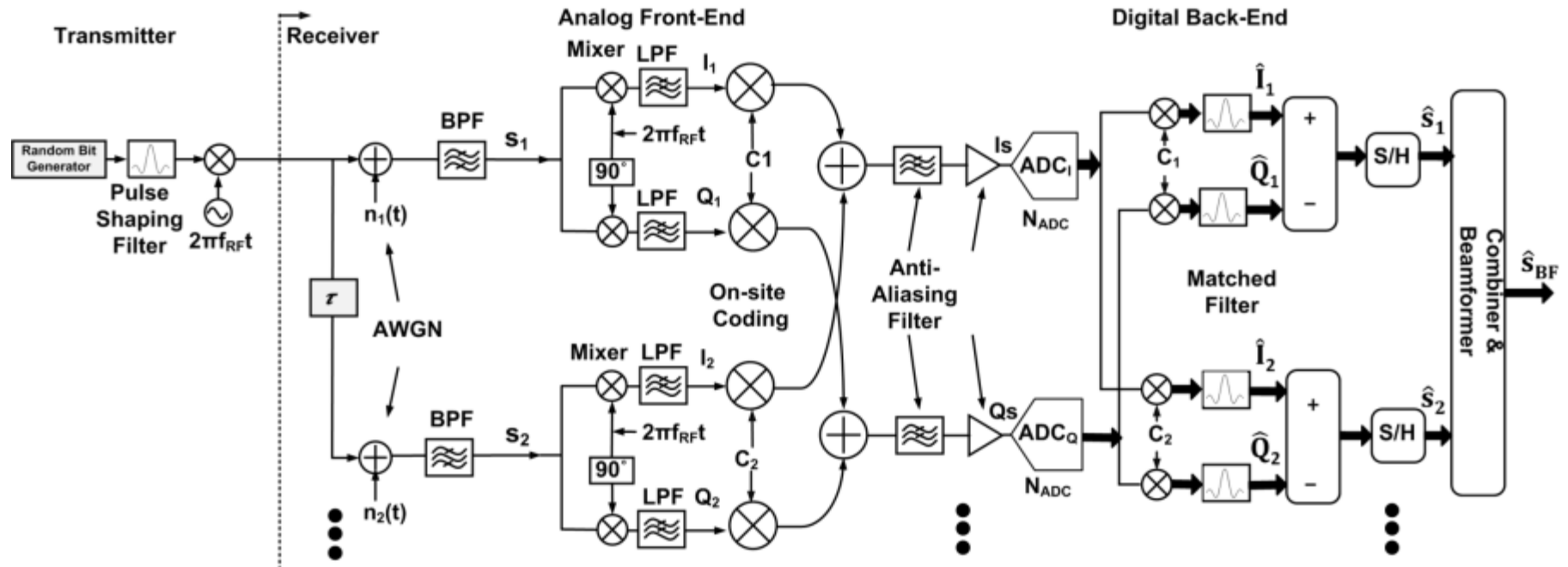
On-Site Coding Receiver for digital beamforming

- Use Spreading codes to aggregate all signal paths into a single ADC
- Hardware Reduction:
 - single ADC
 - Less I/O pins
 - Considerable size, cost, and power reduction

System Considerations

- Optimal clustering: cost and power reduction
- Use of Orthogonal codes reduces inter-channel interference
 - Walsh codes with good cross-correlation
- Cluster size versus ADC speed: cluster size $< 1/2$ code length
- Effect of ADC quantization noise: negligible for $N_{adc} > 3$
- Design of the analog low pass filter to cancel out undesired signals.

Future Work Hardware Implementation



- 2-element array antenna and off-the-shelf receiver COTS to demonstrate feasibility of proposed system
- Quadrature-type de-modulation used with direct conversion radio architecture
- On-board PLL used to tune across frequency of interest
- Digital processing using a single FPGA